

01/02/02

11046 U.S. PTO

01-09-02

11

11046 U.S. PTO
10/040778

01/02/02

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. **97B029/5**First Inventor or Application Number **McElrath, et al.**Title **Compositions For Tire Sidewalls And Other Rubber Construction**Express Mail Label No. **EL810405639US****APPLICATION ELEMENTS**

ADDRESS TO:

**Commissioner for Patents
Box Patent Application
Washington, DC 20231**

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages **36**
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications (if any)
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) Total Sheets **2**
4. Oath or Declaration Total Pages **2**
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d)
(for continuation/division with Box 15 completed)
[Note Box 5]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☒ Incorporation By Reference (useable if Box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein

6. ☐ Microfiche Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Other:

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment.

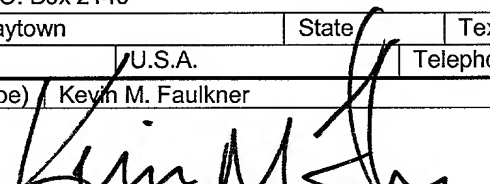
☐ Continuation☒ Divisional☐ Continuation-in-part (CIP)of prior application No: 09/071,105Prior application information: Examiner: B. Musser Group Art Unit: 1733

16. If a NONPROVISIONAL APPLICATION based upon a foreign or U.S. provisional application, priority is claimed from application

No. _____ country _____ filed on _____

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | |
|-------------------|-------------------------------------------------------------------------------------|-----------|--------------|-----------------------------------|-----------------|
| Name | ExxonMobil Chemical Company | | | | |
| Address | P.O. Box 2149 | | | | |
| City | Baytown | State | Texas | Zip Code | 77522-2149 |
| Country | U.S.A. | Telephone | 281-834-2677 | Fax | 281-834-2495 |
| Name (print/type) | Kevin M. Faulkner | | | Registration No. (Attorney/Agent) | 45,427 |
| Signature |  | | | Date | January 7, 2002 |

FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|------------------|
| Application Number | AWAITED |
| Filing Date | January 7, 2002 |
| First Named Inventor | McElrath, et al. |
| Group Art Unit | Unassigned |
| Examiner Name | Unassigned |

Total Amount of Payment (\$)**740.00**

Attorney Docket Number 97B029/5

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payment to:

Deposit Account Number: **05-1715**

Deposit Account Name: **ExxonMobil Chemical - Polymers**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

FEE CALCULATION

1. FILING FEE

| Large Entity Fee Code | Fee \$ | Fee Description | Fee Paid |
|-----------------------|--------|------------------------|----------|
| 101 | 740 | Utility filing fee | 740.00 |
| 106 | 330 | Design filing fee | |
| 107 | 510 | Plant filing fee | |
| 109 | 740 | Reissue filing fee | |
| 114 | 160 | Provisional filing fee | |

SUBTOTAL (1)

740.00

2. CLAIMS

Extra Fee from below Fee Paid

Total Claims **15** - 20 = **0** x **18.00** = **0.00**

Independent Claims **1** - 3 = **0** x **84.00** = **0.00**

Multiple Dependent Claims **0** x **280.00** = **0.00**

| Large Entity Fee Code | Fee \$ | Fee Description |
|-----------------------|--------|---------------------------------------------------------|
| 103 | 18 | Claims in excess of 20 |
| 102 | 84 | Independent claims in excess of 3 |
| 104 | 280 | Multiple dependent claim |
| 109 | 84 | Reissue independent claims over original patent |
| 110 | 18 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

0.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee \$ | Fee Description |
|----------|---------------------|----------------------------------------------------------------------------|
| 105 | 130 | Surcharge - late filing fee or oath |
| 127 | 50 | Surcharge - late provisional filing or cover sheet. |
| 139 | 130 | Non-English specification |
| 147 | 2,520 | For filing a request for reexamination |
| 112 | 920* | Requesting publication of SIR prior to Examiner action |
| 113 | 1,840* | Requesting publication of SIR after Examiner action |
| 115 | 110 | Extension for reply within first month |
| 116 | 400 | Extension for reply within second month |
| 117 | 920 | Extension for reply within third month |
| 118 | 1,440 | Extension for reply within fourth month |
| 128 | 1,960 | Extension for reply within fifth month |
| 119 | 320 | Notice of Appeal |
| 120 | 320 | Filing a brief in support of an appeal |
| 121 | 280 | Request for oral hearing |
| 138 | 1,510 | Petition to institute a public use proceeding |
| 140 | 110 | Petition to revive - unavoidable |
| 141 | 1,280 | Petition to revive - unintentional |
| 142 | 1,280 | Utility issue fee (or reissue) |
| 143 | 460 | Design issue fee |
| 144 | 620 | Plant issue fee |
| 122 | 130 | Petition to the Commissioner |
| 123 | 50 | Petitions related to provisional applications |
| 126 | 180 | Submission of information Disclosure Stmt. |
| 581 | 40 | Recording each patent assignment per property (times number of properties) |
| 146 | 740 | Filing a submission after final rejection (37 CFR 1.129(a)) |
| 149 | 740 | For each additional invention to be examiner (37 CFR 1.129(b)) |

Other fee (specify) Terminal Disclaimer

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

FEES PAID

Typed or Printed Name **Kevin M. Faulkner**

Reg. No. **45,427**

Signature

Date

January 7, 2002

Deposit Account User ID

05-1715